

BOG353

SERVICE ITEMS

(A) Securities Trading Service

****HONG KONG STOCK****

Stamp Duty	0.1% on transaction amount (round up to the nearest dollar)
HKEx Trading Fee & SFC Transaction Levy	0.00565% (rounded to the nearest cent) and 0.0027% on transaction amount respectively
CCASS Settlement Fee	0.0042% of transaction amount
AFRC Transaction Levy	0.00015% on transaction amount (rounded to the nearest cent)
Brokerage Commission (By Phone)	0.25% on transaction amount (Min HK\$100) (Negotiable)
Brokerage Commission (Via Internet)	0.15% on transaction amount (Min HK\$100) (Negotiable)
IPO Subscription fee	HK\$100/per order
Voting Instruction Handling Fee	HK\$100/per order

****US STOCK****

Brokerage Commission (Via Internet)	\$0.008 per share or 0.15% of the transaction amount, with a minimum charge of \$15, whichever is highest (negotiable)
Brokerage Commission (By Phone)	\$0.015 per share or 0.25% of the transaction amount, with a minimum charge of \$25, whichever is highest (negotiable)
SEC Fee	US\$0 (Applicable to Sell orders only)
Transaction Activity Fee	US\$0.000195 per share (Applicable to Sell orders only)
Share Transfer Fee (stock transfer out)	US \$500 Each Stock

(B) Hong Kong Securities- Handling of Physical Certificate Service

Physical Scrip Deposit	Waived
Withdrawal of Physical Scrip	HK\$5 per board lot / odd lot and HK\$100 handling fee for each stock
Transfer Deed (HKSAR)	HK\$5 per certificate / for each transfer deed

(C) Hong Kong Securities-Investor Settlement Instruction (ISI) /Settlement Instruction (SI)

Deposit Stock to CCASS	Free
Stock Withdrawal via CCASS	Handling Fee: HK\$500/per stock + Clearing Fee: 0.25% x number of shares x closing price of last trading day

(D) Nominee and Corporate Actions Service

Scrip Fee (Book Close)	HK\$2 per board / odd lot
Cash Dividend Collection / Scrip Dividend Collection	0.50% of dividend amount (Min HK\$20/US\$3)
Handling of Stock Transfer at Share Register	Handling Fee HK\$200 (Plus Transfer fee of Share Registration)
Rights & Warrants Exercise / Bonus Share Collection Fee	HK\$1.5 per board / odd lot (Min HK\$20)
Corporate Action Services Fee	Handling Fee HK\$50, plus Corporate Action Fee HK\$1 per board / odd lot (Min HK\$20, Max HK\$50,000)
Share Subdivision / Share Consolidation	Free

(E) Other Services

Clearing House Automated Transfer System (CHATS) / Transfer by Cheque	Handling Fee HK\$200
Telegraphic Transfer (TT)	TT to Overseas Bank in HKD : Billed at cost with handling fee HK\$350 TT to Overseas Bank in other currency: Billed at cost with handling fee equal to HK\$350
Monthly Statement Re-print	within the recent 3 months : Free over recent 3 months : HK\$100 per month
Provide Asset Proof	HK\$200 per transaction + other related fee
Inactive Account Fee	HK\$200 per annum
Account Overdue Interest	HKD: Bank of Communications Prime Rate + 5% (per annum); USD: Bank of Communications Prime Rate + 10% (per annum);
Cash or Margin A/C Cash Balance Interest	0%

Effective Date : 6 Aug 2026

Remarks:

- # No consolidation on calculation for tradings through channels on the same day. In addition to trading commissions, customers are required to pay additional fees such as clearing fees and transaction levies.
- ** Handling fee calculates based on gross amount (after tax). The tax rate depends on the rates issued by the State Administration of Taxation (SAT).
- Fund deposit cutoff at 14:00 of any trading day, fund withdrawal cutoff at 12:00 of any trading day (according to actual value time at bank) and all instruction will be handled on the same day.
- Third party payment is unacceptable, cheque should be payable to "MONT AVENIR CAPITAL LIMITED".
- Other transaction levies (if applicable) include trading fees charged by the relevant stock exchange. Trading fees for the securities settlement system, or government sales tax for securities transactions, plus other fees that may be required. We do not further notify for any changes of transaction levies imposed by third parties.
- When the account has not conducted any transactions in a year (i.e. between January 1 to December 31), but it holds cash or stocks, such account will be regarded as an inactive account. Such account will be charged an inactive account fee in the following January.
- The above fees are subject to change without notice and Mont Avenir Capital Limited has the final rights to amend the above service fee schedule.